

## Abelian Varieties

Let  $k$  be a field

- Def A group variety over  $k$  is a variety  $V$  over  $k$ , together with maps

$$m: V \times V \rightarrow V, \quad i: V \rightarrow V$$

and a rational point  $\mathcal{E} \in V(k)$

inducing a group structure on  $V(k)$

with multiplication  $m$

inverse  $i$

unit  $\mathcal{E}$

- Equivalently  $(V, m, i, \mathcal{E})$  is a group object in the category of  $k$ -schemes.

- Prop: A group variety is smooth.

Pf: translate the non-singular locus, which is open.

■

- Def: A connected and complete group variety over  $k$  is called an abelian variety over  $k$

- Prop: -  $A_V$  are projective

- The group law is commutative.

## Example

L3.2

An abelian variety of dimension 1  
is an elliptic curve:

(char  $k \neq 2, 3$ )

$$Y^2 Z = X^3 + A X Z^2 + B Z^3$$

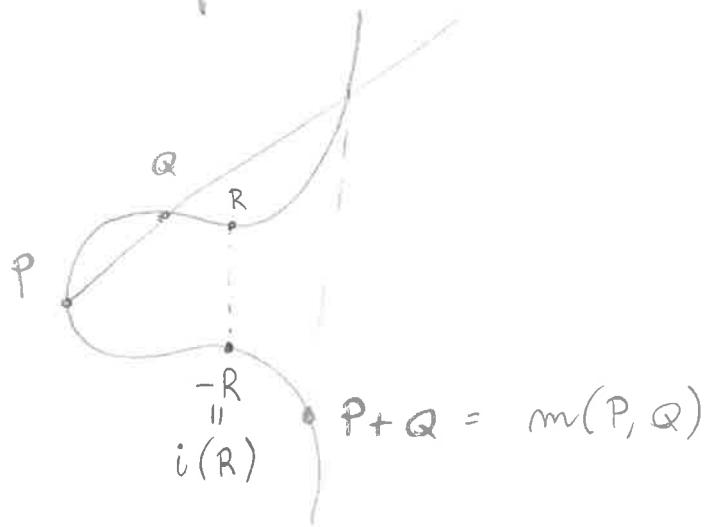
$$\Delta = 4A^3 + 27B^2 \neq 0$$

$$E = (0 : 1 : 0)$$

$\mathbb{C}$  smooth

group law is explicit

If  $k = \mathbb{R}$



# Isogenies

L3.3

Prop Let  $f: A \rightarrow B$  be a homomorphism of abelian varieties.

TFAE: ①  $f$  is surjective and  $\dim(A) = \dim(B)$

②  $\text{Ker}(f)$  is a finite group scheme  
and  $\dim(A) = \dim(B)$

③  $f$  is finite (<sup>surjective</sup>flat) and surjective.

Def A hom.  $f: A \rightarrow B$  sat. 1, 2, 3 is an isogeny.

The degree of an isogeny is the degree as a  
morphism of var. i.e.  $[k(A): k(B)]$

$$\frac{||}{\text{rank } (\text{Ker } f)}$$

Ex Let  $m \in \mathbb{Z}$ , and consider

$$[m]_A: A \rightarrow A$$

$$P \mapsto mP$$

$[m]_A$  is an isogeny of degree  $m^{2 \cdot \dim A}$

Prop If  $f: A \rightarrow B$  is an isogeny of degree  $d$ ,

then  $\exists g: B \rightarrow A$  isogeny s.t.  $g \circ f = [d]_A$   
and  $f \circ g = [d]_B$

Cor Being isogenous is an eq. relation.

## Endomorphisms

- Given  $f, g : A \rightarrow B$  Homomorphisms of a.v. over  $\mathbb{K}$

We can define

$$f+g = m_B \circ (f, g) : A \rightarrow B.$$

- This induces an abelian group structure on

$$\text{Hom}_k(A, B)$$

and a ring structure on

$$\text{End}_k(A)$$

- Since for every  $m \in \mathbb{Z}$  we have

$$m \cdot f = [m]_B \circ f = f \circ [m]_A$$

and  $[m]_A$  is onto we get that

$$\text{Hom}_k(A, B)$$

is torsion-free

- Put  $\text{Hom}_k^\circ(A, B) = \text{Hom}_k(A, B) \otimes_{\mathbb{Z}} \mathbb{Q}$

$$\text{and } \text{End}_k^\circ(A) = \text{End}_k(A) \otimes_{\mathbb{Z}} \mathbb{Q}$$

- Observe that isogenies are precisely elements which become invertible in  $\text{Hom}_k^\circ(A, B)$ .

- Thm (Poincaré Splitting Thm) L3.5  
 Let  $A$  be an a.v. /  $k$  and  $B$  an ab. sub-variety of  $A$ .  
 Then there exists ab. sub-variety  $C$  of  $A$  s.t.  
 $f: B \times C \rightarrow A$   
 $(x, y) \mapsto m(x, y)$   
 is an isogeny.

- Def An abelian variety  $A$  over  $k$  is simple (over  $k$ ) if there are no proper non-trivial abelian sub-varieties of  $A$  (over  $k$ ).

- Cor (Poincaré decompr.)  
 Given an ab. var.  $A$  over  $k$   
 there are simple and pair-wise non-isogenous abelian subvar.  $B_1, \dots, B_r$  and positive integers  $e_1, \dots, e_r$  st  $A \sim B_1^{e_1} \times \dots \times B_r^{e_r}$ .  
isogenous

- Rmk -  $A$  simple /  $k$   $\overset{\leftarrow}{\Rightarrow}$   $A$  simple over  $k' \supset k$ .  
 -  $A \sim B$  /  $k$   $\Rightarrow$   $A \sim B$  /  $k' \supset k$   $\cancel{\Rightarrow}$

§ Over  $\mathbb{F}$

L3.6

- Let's take a close look to the case  $K = \mathbb{F}$ .

- A an ab. var. /  $\mathbb{F}$

then  $A(\mathbb{F})$  is a compact connected Lie group.

- Let  $T_E(A(\mathbb{F}))$  be the tangentspace at the unit  $E$ .

and consider

$$\exp: T_E(A(\mathbb{F})) \xrightarrow{\quad} A(\mathbb{F})$$
$$v \longmapsto (\text{gr}: \mathbb{F} \rightarrow A(\mathbb{F}))|_v$$

-  $\exp$  is surjective

-  $\ker(\exp)$  is a discrete subgroup

$$\Rightarrow \frac{V}{L} \simeq A(\mathbb{F})$$

$$+ V \simeq \mathbb{F}^g$$

$$+ L \simeq \mathbb{Z}^{2g} \quad g = \dim A$$

$\Rightarrow V/L$  is a  $\mathbb{F}$ -torus

which admits a Riemann form.

- The converse also holds.

- Thm There is an eq of categories

$$\{ \text{AV} / \mathbb{F} \} \longleftrightarrow \{ \begin{matrix} \text{complex tori} \\ + \text{Riemann form} \end{matrix} \}$$

"pf"  $\rightarrow$  ok

$\leftarrow$ : use the R.F.  $\rightsquigarrow \Theta$ -functions  $\rightsquigarrow$  proj embedding  
of the torus

} positive characteristic

- Example (Serre)

Let  $E$  be a supersingular elliptic curve over  $\overline{\mathbb{F}_p}$ .

Then  $\text{End}^\circ(E)$  is a quaternion algebra

which does not admit a 2-dimensional representation.

Hence we cannot have an analogous theorem on the whole category of ab. var.

"life is hard"

"On the other hand there's extra structure in char  $p$ ".

Let  $k$  be a field of characteristic  $p$ .

$$0 \rightarrow p\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow k \leftarrow \overline{\mathbb{F}_p} \hookrightarrow k$$

e.g.  $\overline{\mathbb{F}_p}$ ,  $\mathbb{F}_p(t)$ ,  $\mathbb{F}_q$  with  $q = p^d$ .

- The map  $x \mapsto x^p$  is a ring homomorphism called the Frobenius of  $k$ .

- Let  $S$  be a scheme over  $\mathbb{F}_p$  (e.g.,  $\text{Spec}(\mathbb{F}_q)$ ) L3.8

- The absolute Frobenius of  $S$  is the morphism

$$F_S : S \rightarrow S$$

$$\theta_S : x \mapsto x^p$$

Let  $A$  be a scheme over  $S$

and put  $A^{(p)} = A \times_S S$  induced by  $F_S$ .

- Define the relative Frobenius of  $A$  as

$$\begin{array}{ccccc} A & \xrightarrow{F_A} & A^{(p)} & \xrightarrow{\quad} & A \\ \downarrow F_{A/S} & & \downarrow & & \downarrow \\ S & \xrightarrow{F_S} & S & & S \end{array}$$

- Def Let  $A$  be a scheme over  $\mathbb{F}_{p^m}$  ( $A^{(p^n)} \simeq A$ )  
the Frobenius of  $A$  is

$$\pi_A : (A \xrightarrow{F_{A/S}} A^{(p)} \xrightarrow{F_{A^{(p)}/S}} A^{(p^2)} \rightarrow \dots \rightarrow A^{(p^n)} \simeq A)$$

- Prop -  $k$  a field of char( $k$ ) =  $p$

- $A$  an abelian variety of dim  $g$  on  $k$

- Then  $F_{A/k}$  is an isogeny of degree  $p^g$

If  $k = \mathbb{F}_q$ ,  $q = p^m$  then  $\pi_A$  is an isogeny of degree  $q^g$ .

• It follows that there exists an isogeny

$$\nu_{A/k} : A^{(p)} \rightarrow A$$

st  $\nu_{A/k} \circ F_{A/k} = [p]_A$  and  $F_{A/k} \circ \nu_{A/k} = [p]_{A^{(p)}}$

called the relative Verschiebung.

• If  $k = \mathbb{F}_{p^m}$ , we define the Verschiebung of  $A$  as the  $m$ -th iterate of rel. Versch.

Ex  $S = \text{Spec } A$  an affine scheme over  $\mathbb{F}_p$

$$X = \text{Spec } \frac{A[T_1, \dots, T_m]}{I^i}$$

Then  $X^{(p)} = \text{Spec } \frac{A[T_1, \dots, T_m]}{I^{(p)}}$

where  $I^{(p)} = \left\{ \sum_{v \in N^m} a_v T^v : \sum_v a_v T^v \in I \right\}$

The relative Frobenius

$$F_{X/S} : X \rightarrow X^{(p)}$$

is induced by the alg. hom.  $T_i \rightarrow T_i^{(p)}$

# Weil conjectures

Let  $V$  be a smooth projective variety of dimension  $g$  defined over  $\mathbb{F}_q$ , with  $q = p^m$

Let  $N_m = \# V(\mathbb{F}_{q^m})$  is finite.

The Hasse-Weil zeta function of  $V$  is

$$\zeta(V, T) = \exp\left(\sum_{m \geq 1} \frac{N_m}{m} T^m\right)$$

Observe

$$N_m = \left( \frac{1}{(m-1)!} \frac{d^m}{dT^m} \log(\zeta(V, T)) \right) \Big|_{T=0}$$

Thm (Weil conj)

1) (Rationality)  $\zeta(V, T) \in \mathbb{Q}(T)$

2) (Riemann hyp)

We can write

$$\zeta(V, T) = \frac{P_1(T) P_3(T) \dots P_{2g-1}(T)}{P_0(T) P_2(T) \dots P_{2g}(T)}$$

with  $P_i \in \mathbb{Z}[T]$  and

$$P_0(T) = (1-T), \quad P_{2g}(T) = (1 - q^g T)$$

and for  $1 \leq i \leq 2g-1$

$$P_i(T) = \prod_j (1 - \alpha_{ij} T) \quad \text{over } \mathbb{F}$$

for alg. integers  $\alpha_{ij}$  with  $|\alpha_{ij}| = q^{i/2}$

3) (Functional equation)

$$L(V, \frac{1}{q^g T}) = \pm q^{\frac{gT}{2}} T^{\chi} L(V, T) \quad \begin{matrix} \text{Euler char of } V \\ \underline{L.11} \end{matrix}$$

(Induces symmetries on  $\alpha_{i,j}$ )

$$\text{eg } \alpha_{2g-1,i} = \frac{1}{\alpha_i}$$

4) (Betti numbers  $\rightarrow \deg P_i$ )

"PF by Weil, Dwork, Grothendieck, Deligne, . . ."

### Tate modules

- Let  $A$  be an abelian variety of a perfect field  $k$ .
- Let  $\ell$  be a prime,  $\ell \neq \text{char } k$ .

-  $A[\ell^m] = \ker(\ell^m : A \rightarrow A)$  finite group scheme of rank  $(\ell^m)^{2g}$

-  $A[\ell^m]$  is étale, that is uniquely determined by its  $\bar{k}$ -points and the action of  $g = \text{Gal}(\bar{k}/k)$ .

The group schemes  $\ell : A[\ell^{m+j}] \rightarrow A[\ell^j]$  form an inverse system

Def The  $\ell$ -Tate module of  $A$  is

$$T_\ell A = \varprojlim A[\ell^m](\bar{k})$$

- Prop -  $T_\ell A \cong \mathbb{Z}_\ell^{2g}$   $g = \dim A$
- $A[\ell^\infty](\mathbb{F}) \cong \frac{T_\ell A}{\ell^\infty T_\ell A}$
- $T_\ell$  is a functor:  
 $\{AV \text{ over } k\} \rightarrow \underline{\text{Mod}}_{\mathbb{Z}_\ell[g]}$
- Thm (Weil)  
 $A, B$  ab. var. over  $k$  Then the natural morphism  
 $\text{Hom}_k(A, B) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \rightarrow \text{Hom}_{\mathbb{Z}_\ell[g]}(T_\ell A, T_\ell B)$   
is injective.  
It follows that  $\text{Hom}_k(A, B)$  is a free  $\mathbb{Z}$ -module of finite rank.
- Let  $A$  be an abelian variety over  $\mathbb{F}_q$ .  
Denote by  $h_A$  the characteristic polynomial of  
 $T_\ell \pi_A : T_\ell A \rightarrow T_\ell A$
- One can prove that  $P_1 \in \mathbb{Z}[T]$  does not depend on  $\ell$   
 $h_A = P_1 \sim_{\text{in }} g(A, T)$   
and  $P_1$  is the char poly of the action of  $\pi_A$  on  $\Lambda^r T_\ell A$ .
- Def  $h_A$  is called the characteristic poly of  $A$

It follows also that if

$$A \sim B_1^{m_1} \times \dots \times B_n^{m_n}$$

\$B\_i\$ simple  
pairwise non isog.

then

$$\text{End}^o(A) = \prod_{i=1}^r \text{End}^o(B_i^{m_i}) \quad \text{with center } \mathbb{Q}(\pi_A)$$

and

$$\text{End}^o(B_i^{m_i}) = M_{m_i \times m_i}(\text{End}^o(B_i)) \quad \prod_{i=1}^r \mathbb{Q}(\pi_{B_i})$$

Def  $q = p^n$

A  $q$ -Weil number  $\pi$  is an algebraic integer s.t.

for every embedding

$$\psi: \mathbb{Q}(\pi) \hookrightarrow \mathbb{C}$$

$$\text{we have } |\psi(\pi)| = q^{1/2}$$

$\pi_A$  is a  $q$ -Weil number (as it is a root of  $P_1$ )

TFAE If  $k$  is a finite field, then

$$\text{Hom}(A, B) \otimes \mathbb{Z}_\ell \longrightarrow \text{Hom}_{\mathbb{Z}_\ell}(\mathcal{G})^{(\pi_A, \pi_B)}$$

is an iso.

$A, B$  av. /  $\mathbb{F}_q$

TFAE (Tate):

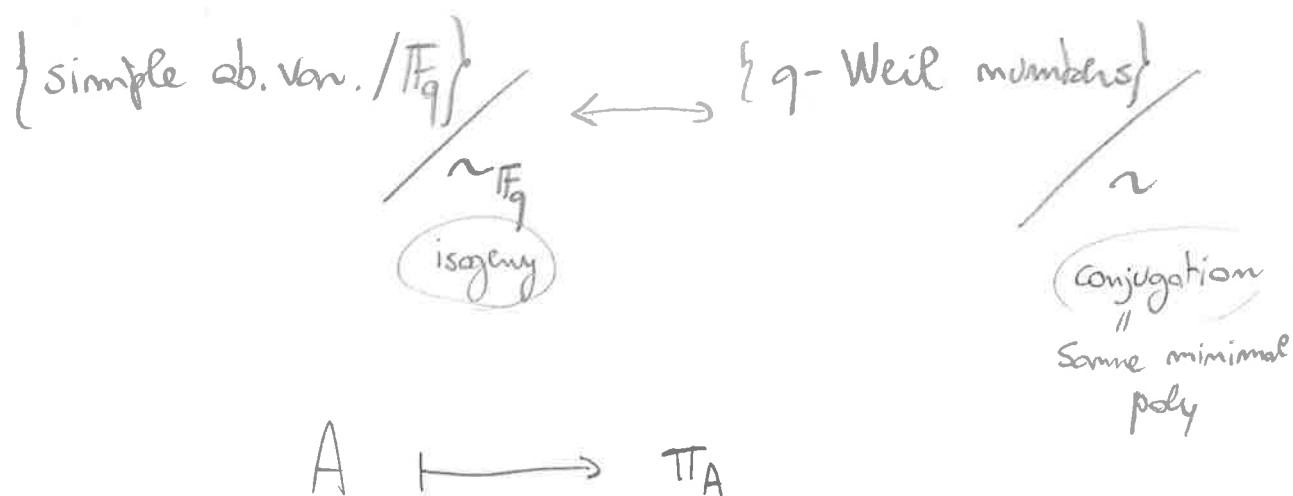
- $A \xrightarrow{\mathbb{F}_q} B$

- $h_A = h_B$

- $\mathcal{G}(A, T) = \mathcal{G}(B, T)$

• Theorem (Honda - Tate)

There is a bijection



- If  $A \underset{F_q}{\sim} B_1^{m_1} \times \dots \times B_n^{m_n}$ ,  $\dim A = g$ ,  $q = p^m$
- then  $h_A = h_{B_1}^{m_1} \cdots h_{B_n}^{m_n}$ ,  $\deg h_A = 2g$
- If  $B$  is simple then  $\frac{h_B}{m_B} = m_B^e$   
where  $m_B$  is the (irreducible) minimal polynomial of  $\pi_B$
- and  $e = \text{l.c.d.} \left\{ \frac{\sqrt[p]{g(0)}}{n} : g \text{ irreducible factor of } m_B \text{ over } \mathbb{Q}_p \right\}$
- Hence if we fix a dimension  $g$   
we can list all characteristic poly's of Frobenius  
i.e. we can list all ab. var. of  $\dim g / F_q$   
up to isogeny.